(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 4 December 2003 (04.12.2003)

PCT

(10) International Publication Number WO 03/09999 A1

- (51) International Patent Classification⁷: 77/398, C08L 83/04, C07F 15/00, 19/00
- C08G 77/08,
- (21) International Application Number: P

PCT/EP02/07457

(22) International Filing Date:

23 May 2002 (23.05.2002)

(25) Filing Language:

English

(26) Publication Language:

English

- (71) Applicants (for all designated States except US): RHO-DIA CHIMIE [FR/FR]; 26, Quai Alphonse Le Gallo, F-92512 Boulogne Billancourt Cédex (FR). SYMYX TECHNOLOGIES, INC [US/US]; 3100 Central Expressway, Santa Clara, CA 95051 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): BRUMMER, Oliver [DE/US]; 507 Easy Street, 3, Mountain View, CA 94043 (US). CARLSON, Eric, D. [US/US]; 10226 Alpine Dr., Cupertino, CA 95014 (US). CREVIER, Thomas [US/US]; 1717 Cedar Lake Court, San Jose, CA 95131 (US). GIRAUD, Yves [FR/FR]; 11, rue du Brulet, F-69110 Sainte Foy Les Lyon (FR). LA POINTE, Anne-Marie [US/US]; 475 Wilson Avenue, Sunnyvale, CA 94066 (US). STERIN, Sébastien [FR/FR]; 29, rue Jarente, F-69002 Lyon (FR).

- (74) Agent: TROLLIET, Maurice; Rhodia Services, Direction de la Propriété Industrielle, Centre de Recherches de Lyon BP 62, F-69192 Saint-Fons (FR).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: SILICONE COMPOSITION WHICH CAN BE CROSSLINKED INTO AN ELASTOMER BY HYDROSILYLATION IN THE PRESENCE OF CARBENE-BASED METAL CATALYSTS, AND CATALYSTS OF THIS TYPE

(57) Abstract: This invention relates to silicone compositions which can be crosslinked, preferably into elastomers, by hydrosily-lation of at least one PolyOrganoSiloxane -A- carrying unsaturated bonds, using at least one polyorganohydrosiloxane -B- in the presence of carbene-based metal catalyst -C- as defined in claim 1 and which optionally comprise at least one inhibitor -D- of the hydrosilylation reaction.

BEST AVAILABLE COPY



WO 03/099909 PCT/EP02/07457"

SILICONE COMPOSITION WHICH CAN BE CROSSLINKED INTO AN ELASTOMER BY HYDROSILYLATION IN THE PRESENCE OF CARBENE-BASED METAL CATALYSTS, AND CATALYSTS OF THIS TYPE

5 The invention relates to the catalysis of hydrosilylation reactions of ethylenically and/or acetylenically unsaturated compounds (for example, olefins or acetylenic derivatives), in particular those involving polyorganosiloxanes (POSs) carrying Si-H units and POSs carrying Si-(ethylenic or acetylenic unsaturation) units.

More specifically, the invention relates to silicone compositions which can be crosslinked (preferably into elastomers) by hydrosilylation of at least one PolyOrganoSiloxane -A- (POS) carrying unsaturated bonds using at least one polyorganohydrosiloxane -B- in the presence of a metal catalyst -C- and which optionally comprise at least one inhibitor -D- of the hydrosilylation reaction.

20 Hydrosilylation reactions which make it possible for silicones to crosslink are conventionally catalysed by platinum catalysts (US 2 823 218, US 2 970 150). In practice, to date, the majority of industrial hydrosilylation reactions are catalysed by the Karstedt solution, which is composed of platinum complexes in which the platinum is in the 0 oxidation state.

The very high catalytic activity of this type of catalyst, even at ambient temperature, is a major disadvantage in the context of its use in polyaddition HCEs as the crosslinking of the elastomer begins as soon as all the components are brought into contact.

The document WO 01/42558 discloses in particular metal complexes of use as hydrosilylation catalysts, of formula:

10 in which:

- R_3 represents a hydrogen atom; a (C_1-C_8) alkyl group; or a (C_3-C_8) cycloalkyl group;
- T_1 and T_2 are identical and represent (C_1-C_8) alkyl or (C_3-C_8) cycloalkyl;
- 15 R_d and R_e are identical and represent (C_1-C_8) alkyl or (C_3-C_8) cycloalkyl.

According to this application, the catalysts are employed for the catalysis of the reaction of a compound comprising an unsaturated bond with a compound exhibiting at least one =Si-H unit.

Patent US 5 728 839 itself also discloses metal/carbene complexes with heterocyclic carbenes; they are described as being able to be of use as catalysts for the hydrogenation or hydroformylation of

unsaturated organic compounds. There is no mention of the crosslinking of silicones in this document.

In point of fact, it might be advantageous to. have available, in the field of crosslinkable silicones 5 (in particular those which can be crosslinked into elastomers), catalysts which are active under hot conditions and inactive or virtually inactive at ambient temperature. This would make it possible to formulate single-component silicone compositions which 10 can be crosslinked under hot conditions and which are stable on storage for lengthy periods of time at ambient temperature (pot life). Single-component silicone compositions are those which comprise, in the same mixture, all the reactive entities (Si-Vinyl 15 POS/Si-H POS) and the catalyst. Conventionally, crosslinking inhibitors are used to increase the pot life of single-component silicone compositions. Thus, with the Karstedt catalyst, the use of an inhibitor is essential and makes it possible to change, for example, 20 the stability at ambient temperature of an Si-Vinyl POS/Si-H POS composition from 1 min to 24 H.

Objectives

In such a state of the art, one of the essential objectives of the invention is to provide a silicone composition which can be crosslinked by hydrosilylation and which comprises, as catalyst, one or more metal complexes based on heterocyclic carbenes, this catalyst having a low activity at ambient

temperature, so as to make possible the preparation of single-component compositions comprising the catalyst and compounds capable of reacting under hot conditions by hydrosilylation of unsaturated units, while being stable at ambient temperature for lengthy periods (e.g. 1 d to a few months).

Another essential objective of the invention is to provide a silicone composition which can be crosslinked by hydrosilylation and which comprises, as catalyst, one or more metal complexes based on heterocyclic carbenes, this composition not being the site, during crosslinking, of isomerization side reactions or of colorations capable of interfering with the hydrosilylation.

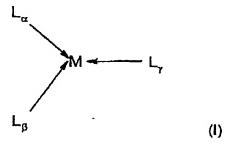
Another essential objective of the invention is to provide novel metal complexes based on heterocyclic carbenes which can be used as hydrosilylation catalysts, the latter having to be highly active under hot conditions and inactive or virtually inactive at ambient temperature, so as to be able to formulate single-component silicone compositions which can be crosslinked under hot conditions and which are stable on storage for lengthy periods of time at ambient temperature (pot life), this being achieved with little or no crosslinking inhibitor.

Another essential objective of the invention is to provide a hydrosilylation process and in

particular a process for the hydrosilylation of ethylenically and/or acetylenically unsaturated compounds in the presence of a catalyst comprising the novel metal complexes targeted above.

These objectives are achieved by the present invention, which relates, first, to a silicone composition which can be crosslinked by hydrosilylation of at least one PolyOrganoSiloxane -A- (POS) carrying unsaturated bonds [example: ethylenic and/or acetylenic unsaturation(s)] using at least one polyorganohydrosiloxane -B- in the presence of a metal catalyst -C- and which optionally comprises at least one inhibitor -D- of the hydrosilylation reaction;

characterized in that the catalyst -C- comprises at
least one compound selected from the products of
formula (I):



in which:

M represents a metal chosen from the metals of Group

8 of the Periodic Table as published in the Handbook

of Chemistry and Physics, 65th edition, 1984-1985;

L, represents a carbene of formula (II):

WO 03/099909 PCT/EP02/07457

6

in which:

5

10

15

20

■ A and B, which are identical or different, represent C or N, it being understood that, when A represents N, then T₄ does not represent anything and, when B represents N, then T₃ does not represent anything;

■ T₃ and T₄ independently represent a hydrogen atom; an alkyl group; a cycloalkyl group optionally substituted by alkyl or alkoxy; an aryl group optionally substituted by alkyl or alkoxy; an alkenyl group; an alkynyl group; or an arylalkyl group in which the aryl part is optionally substituted by alkyl or alkoxy; or else

■ T₃ and T₄ can together and with A and B, when the latter each represent a carbon atom, form an aryl;

■ T₁ and T₂ independently represent an alkyl group;

an alkyl group optionally substituted by alkyl; a perfluorinated alkyl group or an alkyl group optionally substituted by a perfluoroalkyl group; a cycloalkyl group optionally substituted by alkyl or alkoxy; an aryl group optionally substituted by alkyl or alkoxy; an alkenyl group; an alkynyl group; or an arylalkyl group in which the aryl

ISDOCID <WO 03099909A1 I >

WO 03/099909 PCT/EP02/07457

7

part is optionally substituted by alkyl or alkoxy; or else

 \blacksquare T₁ and T₂ independently represent a monovalent radical of following formula (V):

 V_1-V_2 (**V**), in which:

- ullet V₁ is a divalent hydrocarbonaceous radical, preferably an alkylene,
- \bullet V₂ is a monovalent radical chosen from the group of the following substituents:
 - ♦ alkoxy, -OR° with R° corresponding to hydrogen, alkyl or aryl,
 - ♦ amine, preferably N(R°)₂ with R°

 corresponding to hydrogen, alkyl or aryl;
- ${f T_1}$ and ${f T_2}$ independently represent a monovalent radical of following formula (W):

$$W_1 - \omega - W_2$$
 (W)

in which:

- W_1 is a divalent hydrocarbonaceous radical, preferably a linear or branched C_1-C_{10} alkylene, which is optionally substituted;
- ω represents:

 $-R^1C=CR^1-$

with R1 corresponding to H or alkyl,

or

25 -C≡C-;

5

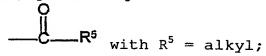
10

15

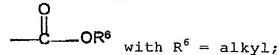
- ullet W_2 is a monovalent radical chosen from the group of the following substituents:
- $R^2 = alkyl \text{ or } H;$

- * Si-alkyl or Si-alkoxy, preferably $-Si(R^3)_3$ with $R^3 = alkyl$;
- alcohol, preferably -C(R⁴)₂OH with R⁴ = H or alkyl;

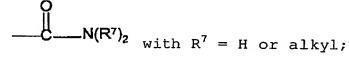
* ketone, preferably



♣ carboxyl, preferably



* amide, preferably

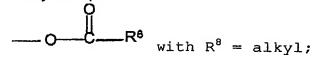


10

15

20

♣ acyl, preferably



or else

- the substituents T₁, T₂, T₃ and T₄ can form in pairs, when they are situated at two adjacent points in the formula (II), a saturated or unsaturated hydrocarbonaceous chain;
- and with the condition that at least one substituent T_1 and/or T_2 , which are identical or different, represent(s) a monovalent radical of following formula (V):
 - Z_1-Z_2 (V)

in which:

- $\mathbf{Z_1}$ is a divalent hydrocarbonaceous radical, preferably an alkylene,
- Z2 is a monovalent radical chosen from:
 - a (C_5-C_{30}) cycloalkyl radical comprising at least one heteroatom in the ring, preferably nitrogen,
 - a (C_6-C_{30}) aryl radical comprising at least one heteroatom in the aromatic ring, preferably nitrogen,
- 10 L_{α} and L_{β} are ligands which are identical to or different from one another and
 - → each represent:
 - $Z^1 \equiv -Z^2 \qquad (III.1)$



15

with, in these formulae (III.1) and (III.2): Z^1 , Z^2 , Z^3 , Z^4 , Z^5 and Z^6

- each independently representing:
- a. hydrogen,
- 20 b. a halogen,
 - c. a cyano,
 - d. a saturated or unsaturated, electron-withdrawing or non-electron-withdrawing, hydrocarbonaceous group, preferably unsaturated adjacent to the double or triple
- 25 bond,
 - e. it being possible for two vicinal \mathbf{Z}^1 to \mathbf{Z}^6 groups together to form an electron-withdrawing or non-

electron-withdrawing ring which is advantageously other than the carbene L, of formula (II) and which optionally comprises heteroatoms (preferably O, N or S);

 \Rightarrow or together form the ligand L_δ of formula (IV):

(IV)

in which:

 Υ_1 and Υ_2 represent, independently of one another, CR_aR_b or SiR_cR_d ;

X represents O, NR_e or CR_fR_q;

R¹⁰, R¹¹, R¹³ and R¹⁴, which are identical or different, are chosen from a hydrogen atom, an alkyl group or an aryl group optionally substituted by alkyl;

❖ R⁹, R¹², R_a, R_b, R_c and R_d are chosen independently from a hydrogen atom; an alkyl group; an acyl group; an aryl group optionally substituted by alkyl; a cycloalkyl group optionally substituted by alkyl; and an arylalkyl group in which the aryl part is optionally substituted by alkyl;

15

10

❖ R_c and R_d are chosen independently from alkyl; aryl optionally substituted by alkyl; cycloalkyl optionally substituted by alkyl; and arylalkyl in which the aryl part is optionally substituted by alkyl; or else when Y₁ and Y₂ independently represent SiR_cR_d, two R_c groups bonded to two separate silicon atoms together form a chain of

formula:

10

5

in which n is an integer from 1 to 3; X is as defined above; R and R', which are identical or different, take any one of the meanings given above for $R_{\rm e}$, it being understood that, when n is 2 or 3, only one silicon atom of the said chain may be substituted by one or two alkenyl or alkynyl groups; or else

20

15

when Y₁ and Y₂ independently represent

SiR_cR_d, two R_c groups bonded to separate

silicon atoms together form a saturated

hydrocarbonaceous chain, the two R_c

groups forming, together with the said

silicon atoms and X, a 6- to 10-membered

ring; or else

❖ when Y₁ and Y₂ independently represent CRaRb, two Ra groups bonded to separate carbon atoms together form a saturated hydrocarbonaceous chain, the two Ra groups forming, together with the carbon atoms which carry them and X, a 6- to 10-membered ring; and

10

15

5

*R_f and R_g represent, independently of one another, a hydrogen atom; an alkyl group; an acyl group; an acyl group optionally substituted by alkyl; a cycloalkyl group optionally substituted by alkyl; an arylalkyl group in which the aryl part is optionally substituted by alkyl; a halogen atom; an alkenyl group; an alkynyl group; or an SiG₁G₂G₃ group where G₁, G₂ and G₃ are, independently of one another, alkyl; alkoxy; aryl optionally substituted by alkyl or alkoxy; or arylalkyl in which the aryl part is optionally substituted by

20

The presence of specific metal/heterocyclic carbene complexes in the compositions according to the invention confers great stability on the latter in an ambient atmosphere under standard temperature, humidity and pressure conditions. Such silicone compositions can be stored in the single-component form, in the uncrosslinked state, in an ambient atmosphere, for long

alkyl or alkoxy.

periods of time (for example, from 1 to several months). This result is all the more advantageous and surprising since, with some metal/heterocyclic carbene catalysts, it is possible to dispense with the use of crosslinking inhibitors or, at the very least, to use less thereof, which is entirely beneficial economically and with regard to limiting the negative repercussions on the crosslinking of the elastomer and its final qualities.

This stability goes together with the ability which the compositions according to the invention have to crosslink under hot conditions (for example from 100°C) by hydrosilylation into elastomers of good quality, in particular at the structural and mechanical level. In addition, the kinetics of the reaction are satisfactory.

These single-component silicone compositions, which have a long pot life at ambient temperature, are all the more advantageous because their cost is not prohibitive. This advantage is even more marked when they do not comprise an inhibitor.

The definition of the metal complexes of formula (I) constituting the catalyst -C-, an essential compound of the composition according to the invention, is completed below.

The metals of Group 8 represented by M in the formula (I) are, for example, palladium, platinum or

nickel in the zero oxidation state. In practice, M represents platinum in the O oxidation state.

The term "alkyl" denotes a saturated, linear or branched, hydrocarbonaceous chain which is optionally substituted (e.g. by one or more alkyls), preferably with from 1 to 10 carbon atoms.

Examples of alkyl groups are in particular methyl, ethyl, isopropyl, n-propyl, tert-butyl, n-butyl or n-pentyl.

The alkyl part of the alkoxy radical is as defined above.

The term "cycloalkyl" is understood to mean a saturated, mono- or polycyclic, preferably mono- or bicyclic, hydrocarbonaceous radical preferably

15 exhibiting from 3 to 10 carbon atoms.

The term "saturated polycyclic hydrocarbonaceous radical" is understood to mean a radical exhibiting two or more rings attached to one another via σ bonds and/or condensed in pairs.

Examples of polycyclic cycloalkyl groups are adamantyl and norbornyl.

Examples of monocyclic cycloalkyl groups are cyclopentyl and cyclohexyl.

The term "perfluorinated alkyl" denotes an alkyl comprising at least one perfluoroalkyl group preferably having the formula:

$$-(CH_2)_p-C_qF_{2q+1}$$

in which p represents 0, 1, 2, 3 or 4; q is an integer from 1 to 10; and C_qF_{2q+1} is linear or branched.

The expression "aryl" denotes a monocyclic or polycyclic, preferably monocyclic or bicyclic, aromatic hydrocarbonaceous group having from 6 to 18 carbon atoms. It should be understood that, in the context of the invention, the term "polycyclic aromatic radical" is understood to denote a radical exhibiting two or more aromatic nuclei condensed (ortho-condensed or ortho- and peri-condensed) with one another.

The said aromatic hydrocarbonaceous ("aryl") group is optionally substituted, for example by one or more $C_1\text{-}C_3$ alkyls, one or more halogenated

hydrocarbonaceous radicals (e.g. CF_3), one or more alkoxys (e.g. CH_3O) or one or more hydrocarbonaceous radicals comprising one or more ketone units (e.g. CH_3CO-).

Mention may be made, as examples of aryl, of 20 the phenyl and naphthyl radicals.

The expression "arylalkyl" denotes an alkyl group as defined above substituted on its hydrocarbonaceous chain by one or more aryl groups, the aryl group being as defined above. Examples thereof are benzyl and triphenylmethyl.

The term "acyl" is understood to denote an R°-CO- group where R° represents an alkyl as defined above; or else an Ar-CO- group where Ar represents an

WO 03/099909 PCT/EP02/07457

16

aryl group as defined above; or else an arylalkyl in which "aryl" and "alkyl" are as defined above and in which the aryl part is optionally substituted, e.g. by an alkyl.

- The term "alkenyl" is understood to denote an unsaturated, substituted or unsubstituted, linear or branched, hydrocarbonaceous chain exhibiting at least one olefinic double bond and more preferably a single double bond. Preferably, the alkenyl group exhibits

 from 2 to 8 carbon atoms, better still from 2 to 6.

 This hydrocarbonaceous chain optionally comprises at least one heteroatom, such as O, N or S.

 Preferred examples of alkenyl groups are the allyl and homoallyl groups.
- The term "alkynyl" is understood to denote, according to the invention, an unsaturated, substituted or unsubstituted, linear or branched, hydrocarbonaceous chain exhibiting at least one acetylenic triple bond and more preferably a single triple bond. Preferably,

 20 the alkynyl group exhibits from 2 to 8 carbon atoms, better still from 2 to 6 carbon atoms. Mention may be made, by way of examples, of the acetylenyl group and the propargyl group. This hydrocarbonaceous chain optionally comprises at least one heteroatom, such as

 25 O, N or S.

The expression "does not represent anything" means that the $-T_3$ and $-T_4$ substituents respectively do not exist. This is because, in the formula (II), the

nitrogen atom is trivalent, so that, when A or B represents N, the nitrogen atom cannot have an additional substituent.

Preferably, in the carbene ligands of formula 5 (II):

(i) T_3 and T_4 represent a hydrogen atom or together form a phenyl,

and/or

(ii) T₁ and T₂, which are identical or

different, represent(s) a monovalent radical of
following formula (V):

 $* \qquad Z_1 - Z_2 \qquad (V)$

in which:

- Z_1 is a divalent hydrocarbonaceous radical, preferably an alkylene,
- Z₂ is a monovalent radical chosen from:
 a (C₅-C₃₀)cycloalkyl radical comprising at least one heteroatom in the ring, preferably nitrogen,
- 20 a (C_6-C_{30}) aryl radical comprising at least one heteroatom in the aromatic ring, preferably nitrogen,

and/or

(iii) A and B both represent a carbon atom. The ligands L_{α} and L_{β} of the catalyst -C- of formula (I) belonging to the composition according to the invention can independently represent an alkyne of formula (III.1) or an alkene of formula (III.2)

substituted by Z¹ to Z⁶ radicals carrying at least one electron-withdrawing unit active with respect to π unsaturation of L_{α} and L_{β} , in order to promote the liganding with the metal M of the complex.

Advantageously, in the formulae (III.1) and (III.2), the electron-withdrawing residues are chosen from the group consisting of:

in which:

 R_{17} , R_{18} , R_{19} and R_{20} , which are identical or different, are substituted or unsubstituted alkyl, alkenyl or alkynyl and n' is between 1 and 50.

Mention may be made, by way of examples of \mathbf{Z}^1 to \mathbf{Z}^6 radicals, of:

those selected from the group consisting of:

-CN, -COOCH₃, -COOCH₂CH₃, -CONC₁₂H₂₅

❖ and, in the cases where the Z¹ and Z² substituents form in pairs and with the triple bond, in (III.1), a ring Cyl and where Z³ to Z⁶ form in pairs, with or without the double bond, in (III.2), a ring Cy2, these rings Cyl and Cy2 are independently and preferably chosen from the group consisting of the following rings:

25

When L_{α} and L_{β} together form a ligand L_{δ} of formula (IV), the latter is preferably of the type of those in which Y_1 and Y_2 either both represent CR_aR_b or both represent SiR_cR_d , so that the said complexes either have the formula (IV.1) or have the formula (IV.2):

$$R_{a}$$
 R_{b}
 R_{b}
 R_{11}
 R_{a}
 R_{b}
 R_{12}
 R_{13}
 R_{c}
 R_{12}
 R_{13}
 R_{c}
 R_{14}
 R_{14}
 R_{14}
 R_{14}
 R_{15}
 R_{15}

where:

the two R_a groups, the two R_b groups, the two R_c groups and the two R_d groups are identical to one another and $R^9 = R^{12}$; $R^{10} = R^{14}$; and $R^{11} = R^{13}$. Preferably, X = 0.

According to an alternative form, the two $R_{\rm c}$ groups in (IV.2) together form (a) either a chain

$$-x - (si - x) \frac{R}{R}$$

in which n is an integer from 1 to 3; X is as defined above; and R and R', which are identical

10

or different, take any one of the meanings given above for R_d , it being understood that, when n is 2 or 3, only one silicon atom of the said chain may be substituted by one or two alkenyl or alkynyl groups;

(b) or a saturated hydrocarbonaceous chain, so that the two R_{c} substituents form, together with the two silicon atoms which carry them X, a 6- to 10-membered ring, preferably a 6- to 8-membered ring.

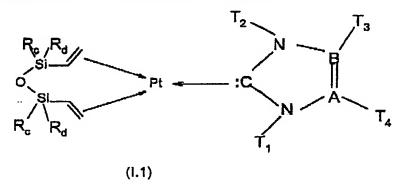
In this respect, more detailed and preferred examples of structures R_c-R_c are given in Application WO 01/42258, page 7, line 11 to page 9, line 19, under the form " Rd^1-Rd^2 ".

In the context of the invention, the expression "independently represent" means that the designated substituents are either identical or different.

Preferred meanings of R₉ and R₁₂ are in
20 particular a hydrogen atom; an alkyl group; an aryl
group optionally substituted by alkyl; and a cycloalkyl
group optionally substituted by alkyl.

For example, the diolefinic ligand L_{δ} of formula (IV) is symmetrical, that is to say that $R_{10}=R_{14};\ R_{11}=R_{13};\ R_{9}=R_{12}$ and the two groups Y_{1} and Y_{2} are either strictly identical to one another, or $Y_{1}=CR_{a}R_{b}$ and $Y_{2}=CR_{a}R_{b}$, or $Y_{1}=SiR_{c}R_{d}$ and $Y_{2}=SiR_{c}R_{d}$.

As regards the catalyst -C- of the composition according to the invention, mention should be made of a first particularly preferred group of metal complexes of following formula (I.1):

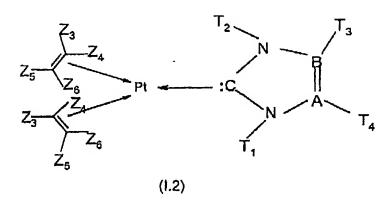


5

in which:

 T_1 and T_2 are identical and are as defined above; $T_3 \ \text{and} \ T_4 \ \text{are as defined above;}$ $R_c \ \text{and} \ R_d \ \text{are as defined above.}$

A second particularly preferred group of catalysts -C- of the composition according to the invention comprises the metal complexes of following formula (I.2):

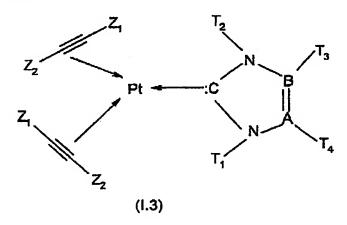


15 in which:

 T_1 and T_2 are identical and are as defined above; T_3 and T_4 are as defined above;

Z₃ to Z₆ are as defined above.

A third particularly preferred group of catalysts -C- of the composition according to the invention comprises the metal complexes of following formula (I.3):



in which:

- T₁ and T₂ are identical and are as defined above;
- T₃ and T₄ are as defined above;
- 10 and Z_1 and Z_2 are as defined above.

In addition to the catalyst -C-, the composition according to the invention comprises the two polyorganosiloxane entities reactive by polyaddition, namely the POS -A- and the POS -B-. The latter are chosen from the POSs composed of siloxyl units of general formula:

$$(R^{20})_x SiO_{(4-x)/2}$$
 (I')

and/or of siloxyl units of formula:

$$(R^{21})_y(R^{22})_zSiO_{(4-y-z)/2}$$
 (II')

20 in which formulae the various symbols have the following meanings:

15

- the R²⁰ and R²² symbols, which are identical or different, each represent a group with a nonhydrolysable hydrocarbonaceous nature, it being possible for this radical to be:
- * an alkyl or haloalkyl radical having from 1 to 5 carbon atoms and comprising from 1 to 6 chlorine and/or fluorine atoms,
 - * cycloalkyl and halocycloalkyl radicals having from 3 to 8 carbon atoms and comprising from 1 to 4 chlorine and/or fluorine atoms,
 - * aryl, alkylaryl and haloaryl radicals having from 6 to 8 carbon atoms and comprising from 1 to 4 chlorine and/or fluorine atoms,
 - * cyanoalkyl radicals having from 3 to 4 carbon atoms;
 - the R^{21} symbols, which are identical or different, each represent a hydrogen atom, a C_2 - C_6 alkenyl group, a hydroxyl group, a hydrolysable atom or a hydrolysable group;
- 20 -x = an integer equal to 0, 1, 2, or 3;
 - -y = an integer equal to 0, 1, 2, or 3;
 - -z = an integer equal to 0, 1 or 2;
 - the sum y+z is between 1 and 3;

with the condition according to which the Si-alkenyl

POS -A- comprises at least one unit R^{21} = alkenyl per molecule and the Si-H POS -B- comprises at least one unit R^{21} = hydrogen per molecule.

These POSs -A- and -B- are, for example, respectively a polyorganovinylsiloxane and a polyorganohydrosiloxane. The organic substituents, other than the vinyl reactive groups and the hydrogen, are, for example, methyls or phenyls. The hydrogens and the vinyls are carried by siloxyl units M = [R₃SiO-] and/or D = [-(R)₂SiO-] and/or T = [-(R)SiO-]. These hydrogenated or vinylated units M and D each comprise one or more H or vinyl units, preferably only one.

The number of SiH or SiVi units per molecule is at least equal to 2 and preferably at greater than 2.

This can represent from 0.01% to 10% (preferably 0.1 to 2%) of vinyl group (27 g/mol) by weight for the POS -A-and from 0.001% to 5% (preferably 0.05 to 2%) of hydrogen group (lg/mol) by weight for the POS -B-.

Generally, the POSs -A- and -B- which can be used in the reaction have an average molecular mass of between 1 \times 10² and 1 \times 10⁷ (g/mol).

20 For the POS -A-, this encompasses in particular, in terms of dynamic viscosity at 25°C, ranges:

o of POSs which can be cured under hot conditions (HCE) by polyaddition, having a viscosity at least equal to 1×10^5 mPa·s, preferably of between 1×10^6 and 1×10^7 mPa·s,

15

15

25

o and of POSs which can be cured under hot conditions by polyaddition, of liquid silicone elastomer (LSR) type, having a viscosity preferably of between 1×10^5 and 5×10^5 mPa·s.

According to a preferred form of the invention, the silicone compositions concerned are POSs which can be cured under hot conditions (HCE) by polyaddition and in which the POSs -A- can have in 10 practice a viscosity at 25°C of, e.g., 2 × 10⁶ mPa·s and the POSs -B- of 10 to 5 000 mPa·s (e.g. 300 mPa·s).

In these examples, the viscosity is measured using a Brookfield viscometer according to the directions of the AFNOR Standard NFT 76 106 of May 82.

All the viscosities concerned in the present account correspond to a "Newtonian" dynamic viscosity quantity at 25°C, that is to say the dynamic viscosity which is measured, in a way known per se, at a shear rate gradient which is sufficiently low for the 20 viscosity measured to be independent of the rate gradient.

The composition according to the invention can also comprise a certain number of conventional ingredients in addition to the POSs -A- and -B- and the catalyst -C-, including in particular at least one crosslinking inhibitor -D- capable of slowing down the polyaddition reaction and of making possible the

storage of the single-component composition -A-B-C-Din a not entirely crosslinked state.

The invention consequently relates to silicone compositions comprising at least one inhibitor 5 -D- and in which the catalyst -C- is chosen from metal complexes of formula (I.1), formula (I.2), where Z3 to Z6 are devoid of electron-withdrawing residue(s), and formula (I.3), where Z1 and Z2 are devoid of electron-withdrawing residue(s). These compositions have long storage times (pot lives).

It should be noted that for some catalysts -C-, in particular those comprising a carbene (II) and at least one (preferably two) ligands L_{α} and L_{β} of formula (III.1) or (III.2), it is not necessary to use an inhibitor.

The invention is consequently also targeted at silicone compositions devoid of inhibitor $-\mathbf{D}-$ and in which the catalyst $-\mathbf{C}-$ is chosen from metal complexes:

- of formula (I.2), where T₁, T₂, T₃, T₄, R_c

and R_d are as defined above and at least one
of the Z³ to Z⁶ substituents (preferably each
substituent) comprises at least one electronwithdrawing residue;

- and/or of formula (I.3), where T_1 , T_2 , T_3 and T_4 are as defined above and Z^1 and Z^2 are devoid of electron-withdrawing residue(s).

These inhibitor-free compositions have, entirely advantageously and unexpectedly, long storage

15

lives (pot lives) in an ambient atmosphere. Crosslinking only occurs under hot conditions. This advantage is a major one economically and with regard to convenience of use and of storage.

- Advantageously, the inhibitors -D- (if they are employed) are selected from:
 - polyorganosiloxanes, advantageously cyclic polyorganosiloxanes, substituted by at least one alkenyl, tetramethylvinyltetrasiloxane being particularly preferred,
 - alkyl, alkenyl or alkynyl maleates, diallyl maleate being particularly preferred,
 - acetylenic alcohols,
 - and/or alkyl, alkenyl or alkynyl acetylenedicarboxylates.

Such an inhibitor $-\mathbf{D}-$ is present in a proportion of at most 3 000 ppm, preferably in a proportion of 100 to 2 000 ppm, with respect to the total weight of the polyorganosiloxanes $-\mathbf{A}-$ and $-\mathbf{B}-$.

- Mention may be made, as conventional families of conventional functional additives capable of being employed in the silicone compositions according to the invention, of:
 - fillers,
- 25 hydroxylated POS oils of use as compatibilizer,
 - adhesion promoters,
 - adhesion modifiers,

pigments,

WO 03/099909

 additives for stability towards heat, oils or fire (for example, metal oxides).

The fillers optionally provided are

5 preferably inorganic fillers. They can be composed of products chosen from siliceous materials which can act

as reinforcing or semi-reinforcing filler.

Reinforcing siliceous fillers are chosen from colloidal silicas, fumed and precipitated silica

10 powders, or their mixture.

Semi-reinforcing siliceous fillers, such as diatomaceous earths or ground quartz, can also be employed.

As regards nonsiliceous inorganic materials,

they can be used as semi-reinforcing or bulking
inorganic filler.

Examples of these nonsiliceous fillers, which can be used alone or as a mixture, are carbon black, titanium dioxide, aluminium oxide, alumina hydrate, expanded vermiculite, nonexpanded vermiculite, calcium carbonate, zinc oxide, mica, talc, iron oxide, barium sulphate and slaked lime.

Conveniently but without implied limitation, the fillers employed can be a mixture of quartz and silica.

The fillers can be treated with any appropriate product.

It is preferable, by weight, to employ an amount of filler of between 20 and 50, preferably between 25 and 35% by weight, with respect to the combined constituents of the composition.

- More generally, the amounts in the compositions according to the invention are standard proportions in the technical field under consideration, it being understood that the targeted application also has to be taken into account.
- According to another of its aspects, the present invention relates, as novel products, to the complexes of formula (I) as defined above, of use in particular as catalysts -C-.

The invention additionally relates to any catalytic composition comprising, as active material, one or more metal complexes of formula (I) as defined above.

Such catalysts (in particular hydrosilylation catalysts) have the distinctive characteristic that

20 they can be formed in situ in silicone compositions of the type of those according to the invention, provided that the compositions comprise ligands L_{α} and L_{β} of formula (III.1) or (III.2), for example as inhibitor -D-. This or these ligands L_{α} and L_{β} of formula (III.1)

25 or (III.2) are capable of displacing the initial ligands L_{δ} from the catalyst -C-. These are latent catalysts. The present invention obviously encompasses this scenario.

Another subject-matter of the invention is a process for the hydrosilylation of olefins or of acetylenic derivatives (for example, hydrosilylation of one or more POSs -A- using one or more POSs -B-), characterized in that it consists in employing the

5 characterized in that it consists in employing the silicone composition as defined above and/or the catalytic composition also described above.

According to an advantageous alternative form in which recourse is had to at least one latent catalyst as described above, use is made of a silicone composition according to the invention as presented above comprising at least one inhibitor $-\mathbf{D}-$ which makes possible the in situ formation of at least one metal complex comprising at least one ligand L_{α} or L_{β} of formula (III.1) or (III.2).

The hydrosilylation reaction can be carried out in a solvent or in the absence of solvent. In an alternative form, one of the reactants can act as solvent: for example, the compound comprising an ethylenic double bond or comprising an acetylenic triple bond.

Appropriate solvents are solvents which are miscible with the compound comprising an Si-H unit.

Under the conditions of the hydrosilylation

25 reaction, the catalyst complex must be dissolved in the reaction medium.

Examples of solvents which can be used for the hydrosilylation are in particular aliphatic

hydrocarbons, aromatic hydrocarbons, halogenated aliphatic or aromatic hydrocarbons, or ethers.

The hydrosilylation reaction can be carried out at a temperature of between 15°C and 300°C, for example between 20 and 240°C.

Generally, the molar ratio of the unsaturations to the Si-H bonds varies between 1:100 and 10:1.

According to the invention, the

10 hydrosilylation reaction is carried out in the presence
of a catalytic amount of one or more complexes of
formula (I). The term "catalytic amount" is understood
to denote less than one molar equivalent of a metal
choosen from the metals of group 8 with respect to the

15 amount of unsaturations present in the reaction medium.

Generally, it is sufficient to introduce, into the reaction medium, less than 1 000 ppm, preferably less than 100 ppm, better still less than 50 ppm, of the metal of group 8, calculated with respect to the total mass of the unsaturated compound and of the compound comprising Si-H units.

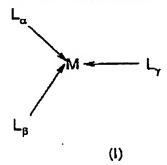
As regards the preparation of the composition according to the invention, it relates to employing and mixing the compounds -A-, -B-, -C-, optionally -D- and one or more other conventional additives.

The mixing operations are entirely within the scope of a person skilled in the art.

20

The POSs -A- and -B-, the inhibitors -D- and the other conventional additives, such as fillers, are commodities fully available/accessible to a person skilled in the art.

As regards the metal complexes (I) forming the catalysts -C-, it has been seen above that the catalysts -C- comprising complexes:



with L_{α} and/or L_{β} of formula (III.1) or (III.2), can be obtained from complexes (I) in which L_{γ} is of formula (II) and L_{α} and L_{β} are of formula (IV), the latter being displaced in situ by inhibitors -D- of formula (III.1) or (III.2).

These complexes (I) in which L_{γ} is of formula (II) and L_{α} and L_{β} are of formula (IV) are prepared conventionally, for example from known complexes of the state of the art, by ligand exchange, that it to say by addition of the appropriate carbene of formula (II) to a metal complex of the metal M in solution, denoted precursor complex.

Appropriate precursor complexes are, for example, the Karstedt complex.

WO 03/099909 PCT/EP02/07457

3,3

The complexes of formula (I) are generally prepared from precursor complexes exhibiting, as ligand, at least one diolefinic compound of formula (IV.P):

$$R_5$$
 R_6
 Y_1
 R_4
 R_3
 R_2
 R_1
 R_2
 R_2
 R_3

5

in which R_1 , R_2 , R_3 , R_4 , R_5 , R_6 , X, Y_1 and Y_2 are as defined above for the formula (I).

These ligands are either commercially available or are easily prepared by a person skilled in the art from commercial compounds. In this respect, reference may be made to the information given in Application WO 01/42258, more particularly page 15, line 1 to page 18, line 14.

The carbenes of formula (II) can be prepared

15 by deprotonation of imidazolium salts, of tetrazolium

salts, of triazolium salts or of pyrazolium salts,

according to the situation, under the action of a base.

These reactions can be represented schematically as follows:

In these reaction schemes, T_1 , T_2 , T_3 , T_4 , A and B are as defined above for the formula (I) and X represents an anion.

The nature of the anion X^- is not critical according to the invention. The anion X^- is the anion derived from an organic or inorganic Brönsted acid (protic acid). The anion X^- is usually derived from an acid exhibiting a pK_a of less than 6. Preferably, X^- derives from an acid with a pK_a of less than 4, better still of less than 2. The pK_a values concerned with here are the pK_a values of the acids as measured in water.

Examples of acids are sulphonic acids and phosphonic acids.

Mention will be made, as sulphonic acid, of benzenesulphonic acid and mention will be made, as phosphonic acid, of phenylphosphonic acid.

According to the invention, the anions X^- derived from the acids HCl, HBr, H_2SO_4 , HBF $_4$ and H_3PO_4 are more particularly preferred.

The bases which can be used for the deprotonation of the salts of formula (VIII.1) are strong bases chosen from alkali metal hydrides, alkali

WO 03/099909 PCT/EP02/07457

35

metal carboxylates, alkali metal alkoxides and alkali metal amides.

Examples of appropriate bases are therefore sodium hydride, sodium methoxide, potassium tert
5 butoxide, lithium diisopropylamide and their mixtures.

The deprotonation reaction is preferably carried out in a solvent capable of at least partially dissolving the starting salt of formula (VIII.1) and the other reactants.

The nature of the solvent also depends on the strength of the base. This is because, in the case of a strong base and of particularly reactive starting salts, it may be necessary to operate at a low temperature.

15 Generally, the reaction temperature is between -78°C and 40°C, preferably between -50 and 30°C, better still between -40 and 25°C, for example between -30 and 20°C.

Solvents which can be used in the process for the preparation of the carbenes are cyclic or noncyclic ethers.

Other preparation methods suitable for the synthesis of the carbenes of formula (II) are shown in Application WO 01/42258.

25 According to a particularly preferred embodiment of the invention, the metal complex of the invention has the formula:

in which L, is as defined above.

A simple method for the preparation of this complex consists in reacting the carbene L with the "conventional" Karstedt catalyst. This reaction can be carried out in bulk or in a solvent.

Examples of appropriate solvents are cyclic or noncyclic ethers, amides and aromatic hydrocarbons. The reaction temperature usually varies between 10 and 50°C. It is desirable to carry out the reaction in the presence of a slight excess of carbene with respect to the platinum.

Another advantageous preparation process consists in bringing together:

at least one salt of formula (VIII):

$$\begin{bmatrix} T_4 & T_1 \\ A & N \\ I & O & C-H \\ T_3 & T_2 \end{bmatrix} + Z_7$$
(VIII)

in which:

- A, B, T_1 , T_2 , T_3 and T_4 are as defined above;

10

5

- Z₇ independently represents an anion derived from a Brönsted acid (protic acid),
- at least one appropriate precursor complex of formula (IV.P),
- at least one solvent (selected in particular from those mentioned above),
 - and at least one base (selected in particular from those mentioned above).

In this respect, a detailed description of the latter 10 process is shown in French Application No. 01/07473, filed on 7 June 2001.

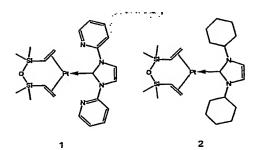
The catalysts thus prepared can be used in hydrosilylation reactions. They make possible homogeneous catalysis of the reaction.

They also give access to single-component silicone compositions, preferably of polyaddition HCE type, exhibiting much longer pot lives than those prepared with conventional platinum-based catalysts, while using only very little or nothing in the way of inhibitors -D-.

The invention is illustrated in the light of the following examples.

EXAMPLES

The Pt-Carbene complexes used in the examples
25 have the structures described below:



5 1. Synthesis of the complex 2

The complex 2 was prepared according to the process disclosed in the document WO 01/42558.

2. Synthesis of the complex 1

1,3-Bis(2-pyridyl)imidazolium chloride, used

10 for the preparation of the complex 1, is synthesized

according to the procedure described in the journal

Organometallics, 2000, 19, 5113.

0.78 g of 1,3-bis(2-pyridyl)imidazolium chloride (3 mmol) and then 4.66 g of a Karstedt

15 catalyst solution comprising 11.3% of Pt by weight (2.7 mmol of Pt) are introduced into a 250 ml reactor dried beforehand in an oven. 100 ml of anhydrous THF are subsequently added. 3.0 ml of 1M solution of t-BuOK in THF (3 mmol) are then injected over 30 min at 0°C.

The reaction mixture is stirred for an additional hour and then filtered and purified (conventional purification method).

3. Preparation of crosslinkable silicone elastomer composition

Each composition was prepared according to the following procedure:

An amount of catalyst equivalent to 67 ppm of Pt (calculated with respect to the total mass of vinylsiloxane oil and of hydrosiloxane oil) is dissolved in a few μl of toluene. 10 parts of an α,ω-vinylsiloxane oil, with a viscosity equal to 230 mPa·s and comprising 0.61% by mass of vinyl groups, are added to the solution. In example 3.1 no additional inhibitor is added. In example 3.2 a quantity of inhibitor (3,7,11-trimethyldodecyn-1-ol = TMDDO) is then added, if appropriate (Example 3.2), to produce the desired inhibitor/Pt molar ratio.

After rapid stirring, 2.7 parts of a hydrosiloxane oil, with a viscosity equal to 300 mPa·s and comprising 0.17% by mass of hydrogen, are added.

The DSC analyses of the preceding

20 formulations are described below:

				Temp.	Temp.	Peak of	Gelling
	Example	Catalyst	Inhibitor/Pt	Begin-	End	the	time at
				ning	(°C)	exotherm	25°C
Ī				(°C)		(°C)	
	3.1	1	/	106	122	114	30 days
	3.2	1	60	150	163	154	>15
							weeks

PCT/EP02/07457

	• •						
I				Temp.	Temp.	Peak of	Gelling
I	Counter-	Catalyst	Inhibitor/Pt	Begin-	End	the	time at
Į	example			ning	(°C)	exotherm	25°C
١				(°C)		(°C)	
Ì	1	2	/	95	123	107	1 day
l	2	2	60	152	177	164	13
			*			•	weeks

The DSC curves and the gelling time at 25°C show that the claimed complexes exhibit a satisfactory activity and result in more stable silicone

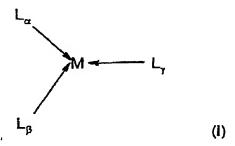
5 compositions which can be crosslinked into elastomers.

NSDOCID: <WO____03099909A1_I_>

41

CLAIMS

1. Silicone composition which can be crosslinked by hydrosilylation of at least one PolyOrganoSiloxane -A- (POS) carrying ethylenic and/or acetylenic unsaturation(s) using at least one polyorganohydrosiloxane -B- in the presence of a metal catalyst -C- and which optionally comprises at least one inhibitor -D- of the hydrosilylation reaction; characterized in that the catalyst -C- comprises at least one compound selected from the products of formula (I):



in which:

M represents a metal chosen from the metals of Group 8

15 of the Periodic Table as published in the Handbook of
Chemistry and Physics, 65th edition, 1984-1985;
L, represents a carbene of formula (II):

$$T_4$$
 T_1
 $C:$
 T_3
 T_2

in which:

20 **A** and **B**, which are identical or different, represent.

C or N, it being understood that, when **A** represents N,

then $\mathbf{T_4}$ does not represent anything and, when \mathbf{B} represents N, then $\mathbf{T_3}$ does not represent anything;

- T₃ and T₄ independently represent a hydrogen atom; an alkyl group; a cycloalkyl group optionally substituted
- by alkyl or alkoxy; an aryl group optionally substituted by alkyl or alkoxy; an alkenyl group; an alkynyl group; or an arylalkyl group in which the aryl part is optionally substituted by alkyl or alkoxy; or else
- 10 T₃ and T₄ can together and with A and B, when the latter each represent a carbon atom, form an aryl;
 - lacktriangledown lacktriangledown lacktriangledown and lacktriangledown lacktriangledown
- substituted by a perfluoroalkyl group; a cycloalkyl group optionally substituted by alkyl or alkoxy; an aryl group optionally substituted by alkyl or alkoxy; an alkenyl group; an alkynyl group; or an arylalkyl group in which the aryl part is optionally substituted
- 20 by alkyl or alkoxy; or else
 - \blacksquare T_1 and T_2 independently represent a monovalent radical of following formula (V):

 V_1-V_2 (V), in which:

- V₁ is a divalent hydrocarbonaceous radical,
 preferably an alkylene,
- ullet V_2 is a monovalent radical chosen from the group of the following substituents:

- alkoxy, -OR° with R° corresponding to
 hydrogen, alkyl or aryl,
 - ♦ amine, preferably N(R°)₂ with R° corresponding to hydrogen, alkyl or aryl;
- 5 \blacksquare T_1 and T_2 independently represent a monovalent radical of following formula (W):

$$W_1 - \omega - W_2$$
 (W)

in which:

- ullet W₁ is a divalent hydrocarbonaceous radical, preferably a linear or branched C₁-C₁₀ alkylene, which is optionally substituted;
- ω represents: $-R^1C = CR^1 -$ with R^1 corresponding to H or alkyl,
- 15 . or -C≡C-;

10

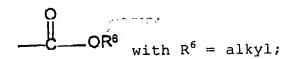
- ullet W_2 is a monovalent radical chosen from the group of the following substituents:
- R^2 = alkyl or H;
- 20 Si-alkyl or Si-alkoxy, preferably -Si(R³)₃ with R³ = alkyl;
 - ♣ alcohol, preferably -C(R⁴)₂OH with R⁴ = H or alkyl;
 - * ketone, preferably

 $\frac{1}{25} \qquad \frac{1}{R^5} \text{ with } R^{5-} = \text{alkyl};$

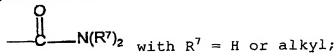
* carboxyl, preferably

5

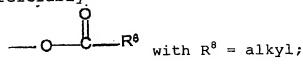
44



amide, preferably



* acyl, preferably



or else

- the substituents \mathbf{T}_1 , \mathbf{T}_2 , \mathbf{T}_3 and \mathbf{T}_4 can form in pairs, when they are situated at two adjacent points in the formula (II), a saturated or unsaturated
- 10 hydrocarbonaceous chain;
 - and with the condition that at least one substituent T_1 and/or T_2 , which are identical or different, represent(s) a monovalent radical of following formula (V):

15 • $Z_1 - Z_2$ (V)

in which:

- $\mathbf{z_1}$ is a divalent hydrocarbonaceous radical, preferably an alkylene,
- Z₂ is a monovalent radical chosen from:
- a (C_5-C_{30}) cycloalkyl radical comprising at least one heteroatom in the ring, preferably nitrogen, a (C_6-C_{30}) aryl radical comprising at least one heteroatom in the aromatic ring, preferably nitrogen,

- L_{α} and L_{β} are ligands which are identical to or different from one another and

→ each represent:

5

- each independently representing:
 - a. hydrogen,
- b. a halogen,
 - c. a cyano,
 - d. a saturated or unsaturated, electronwithdrawing or non-electron-withdrawing, hydrocarbonaceous group, preferably unsaturated adjacent to the double or triple
- unsaturated adjacent to the double or triple bond,
- e. it being possible for two vicinal Z¹ to Z6 groups together to form an electron-withdrawing or non-electron-withdrawing ring which is advantageously other than the carbene L, of formula (II) and which optionally comprises heteroatoms (preferably O, N or S);
 - \rightarrow or together form the ligand L₈ of formula (IV):

(IV)

in which:

- ❖ Y₁ and Y₂ represent, independently of one another, CR_aR_b or SiR_cR_d;
- - R¹⁰, R¹¹, R¹³ and R¹⁴, which are identical or different, are chosen from a hydrogen atom, an alkyl group or an aryl group optionally substituted by alkyl;
- ↑ R⁹, R¹², R_a, R_b, R_c and R_d are chosen independently from a hydrogen atom; an alkyl group; an acyl group; an aryl group optionally substituted by alkyl; a cycloalkyl group optionally substituted by alkyl; and an arylalkyl group in which the aryl part is optionally substituted by alkyl;
 - $ightharpoonup R_c$ and R_d are chosen independently from alkyl; aryl optionally substituted by alkyl; cycloalkyl optionally substituted by alkyl; and arylalkyl in which the aryl part is optionally substituted by alkyl; or else
 - \clubsuit when Y₁ and Y₂ independently represent SiR_cR_d, two R_c groups bonded to two separate silicon atoms together form a chain of formula:

WO 03/099909 PCT/EP02/07457

47

in which n is an integer from 1 to 3; X is as defined above; R and R', which are identical or different, take any one of the meanings given above for R_e , it being understood that, when n is 2 or 3, only one silicon atom of the said chain may be substituted by one or two alkenyl or alkynyl groups; or else

- ❖ when Y₁ and Y₂ independently represent SiR_cR_d, two R_c groups bonded to separate silicon atoms together form a saturated hydrocarbonaceous chain, the two R_c groups forming, together with the said silicon atoms and X, a 6- to 10membered ring; or else
- together form a saturated hydrocarbonaceous chain, the two Ra groups forming, together with the carbon atoms which carry them and X, a 6- to 10-membered ring; and
 - ❖R_f and R_g represent, independently of one another, a hydrogen atom; an alkyl group; an acyl group; an aryl group optionally substituted by alkyl; a cycloalkyl group optionally substituted by alkyl; an arylalkyl group in which the aryl part is optionally substituted by

25

5

5

10

alkyl; a halogen atom; an alkenyl group; an alkynyl group; or an $SiG_1G_2G_3$ group where G_1 , G_2 and G_3 are, independently of one another, alkyl; alkoxy; aryl optionally substituted by alkyl or alkoxy; or arylalkyl in which the aryl part is optionally substituted by alkyl or alkoxy.

- 2. Composition according to claim 1, characterized in that M is a metal chosen from Pt, Pd and Ni in the zero oxidation state, preferably platinum in the zero oxidation state.
- 3. Composition according to claim 1 or 2, characterized in that, in the formula (II): T_3 and T_4 represent a hydrogen atom or together form a phenyl,
- 15 and/or

 T_1 or T_2 represents (C_1-C_8) alkyl or (C_3-C_8) cycloalkyl, preferably from the group of radicals consisting of: methyl, n-propyl, n-pentyl, cyclohexyl, adamantyl, allyl, methallyl, propargyl and homopropargyl,

20 and/or

A and B both represent a carbon atom.

4. Composition according to any one of the preceding claims, characterized in that, in the formulae (III.1) and (III.2), the electron-withdrawing residues are chosen from the group consisting of:

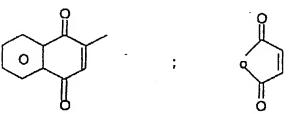
in which:

 R_{17} , R_{18} , R_{19} or R_{20} is a substituted or unsubstituted alkyl, alkenyl or alkynyl and n' is between 1 and 50.

- 5. Composition according to any one of the preceding claims, characterized in that:
 - $\boldsymbol{\diamondsuit}$ Z^1 , Z^2 , Z^3 , Z^4 , Z^5 and Z^6 are selected from the group consisting of:

10 $-COOCH_3$, $-COOCH_2CH_3$, $-CONC_{12}H_{25}$ and -CN,

❖ and, in the cases where the Z¹ and Z² substituents form in pairs and with the triple bond, in (III.1), a ring Cyl and where Z³ to Z⁵ form in pairs, with or without the double bond, in (III.2), a ring Cy2, these rings Cyl and Cy2 are independently and preferably chosen from the group consisting of the following rings:

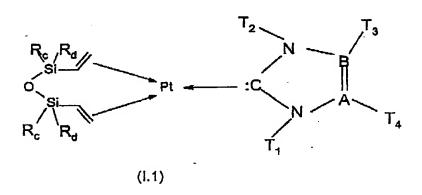


6. Composition according to any one of the 20 preceding claims, characterized in that L_{α} and L_{β} together form a ligand L_{δ} of formula (IV) in which Y_1 and Y_2 either both represent CR_aR_b or both represent

 SiR_cR_d , so that the said complexes either have the formula (IV.1) or have the formula (IV.2):

where the two R_a groups, the two R_b groups, the two R_c groups and the two R_d groups are identical to one another and $R^9 = R^{12}$; $R^{10} = R^{14}$; and $R^{11} = R^{13}$, X preferably being an oxygen atom.

7. Composition according to any one of the preceding claims, characterized in that the catalyst
 10 -C- corresponds to the following formula (I.1):



in which:

15 - T_1 and T_2 are identical and are as defined above;

- T₃ and T₄ are as defined above;

- and R_{c} and R_{d} are as defined above.

8. Composition according to any one of the preceding claims, characterized in that the POSs -A- and -B- are chosen from those composed of siloxyl units of general formula:

5
$$(R^{20})_x SiO_{(4-x)/2}$$
 (I')

and/or of siloxyl units of formula:

$$(R^{21})_{v}(R^{22})_{z}SiO_{(4-v-z)/2}$$
 (II')

in which formulae the various symbols have the following meanings:

- the R²⁰ and R²² symbols, which are identical or different, each represent a group with a nonhydrolysable hydrocarbonaceous nature, it being possible for this radical to be:
 - * an alkyl or haloalkyl radical having from 1 to 5 carbon atoms and comprising from 1 to 6 chlorine and/or fluorine atoms,
 - * cycloalkyl and halocycloalkyl radicals having from 3 to 8 carbon atoms and comprising from 1 to 4 chlorine and/or fluorine atoms,
- * aryl, alkylaryl and haloaryl radicals having from 6 to 8 carbon atoms and comprising from 1 to 4 chlorine and/or fluorine atoms,
 - * cyanoalkyl radicals having from 3 to 4 carbon atoms;
- 25 the R²¹ symbols, which are identical or different, each represent a hydrogen atom, a C₂-C₆ alkenyl group, a hydroxyl group, a hydrolysable atom or a hydrolysable group;

WO 03/099909 PCT/EP02/07457

52

- -x = an integer equal to 0, 1, 2, or 3;
- -y = an integer equal to 0, 1, 2, or 3;
- -z = an integer equal to 0, 1 or 2;
- the sum y+z is between 1 and 3;
- with the condition according to which the Si-alkenyl POS $-\mathbf{A}-$ comprises at least one unit $\mathbf{R}^{21}=$ alkenyl per molecule and the Si-H POS $-\mathbf{B}-$ comprises at least one unit $\mathbf{R}^{21}=$ hydrogen per molecule.
- Metal complexes of formula (I) as
 defined in any one of claims 1 to 8.
 - 10. Catalytic composition comprising, as active material, one or more metal complexes according to claim 9.
- 11. Process for the hydrosilylation of
 olefins or of acetylenic derivatives, characterized in
 that it consists in employing the silicone composition
 according to any one of claims 1 to 8 and/or the
 catalytic composition according to claim 10.
 - 12. Process according to claim 11,
- characterized in that use is made of a composition according to any one of claims 1 to 8 comprising at least one inhibitor -D- which makes possible the in situ formation of at least one metal complex according to claim 9.

ERNATIONAL SEARCH REPORT

Interational Application No PCT/EP 02/07457

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C08G77/08 C08G77/398 C08L83/04 C07F15/00 C07F19/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 COSL COSG CO7F

Documentation searched other than minimum documentation to the extent that such documents are included. In the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 6 362 357 B1 (HUANG JINKUN ET AL) 26 March 2002 (2002-03-26) column 4, line 66 -column 6, line 25; claims; examples	9,10
А	US 6 316 380 B1 (HUANG JINKUN ET AL) 13 November 2001 (2001-11-13) claims; example 4	9,10
Α	US 5 728 839 A (HERRMANN WOLFGANG A ET AL) 17 March 1998 (1998-03-17) claims; example 3	9,10

X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
Special categories of cited documents: A' document defining the general state of the art which is not considered to be of particular relevance E' earlier document but published on or after the international filling date L' document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) O' document referring to an oral disclosure, use, exhibition or other means P' document published prior to the international filing date but later than the priority date claimed	 "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
30 September 2002	08/10/2002
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Kolitz, R

Form PCT/ISA/210 (second sheet) (July 1992)

FERNATIONAL SEARCH REPORT

International Application No
PCT/EP 02/07457

		101721 02/07437			
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.			
Category °	Citation of document, with indication, where appropriate, or the research passages	, isotali to delli 140.			
А	WO 01 42258 A (MARKO ISTVAN ;RHONE POULENC CHIMIE (FR); STERIN SEBASTIEN (FR)) 14 June 2001 (2001-06-14) cited in the application page 26, line 7 -page 27, line 6; claims; examples	1-12			
	·				
		·			
	_				

IMPERNATIONAL SEARCH REPORT

Information on patent family members

Interational Application No
PCT/EP 02/07457

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 6362357	B1	26-03-2002	WO	0166248 A2	
05 0002007			US	6316380 B1	13-11-2001
			US	~' 6403801 B1	11-06-2002
			: US	6403802 B1	
			US	6369265 B1	09-04-2002
US 6316380	B1	13-11-2001	WO	0166248 A2	
00 001000			US	6403801 B1	
			บร	6369265 B1	
			US	6403802 B1	
•			US	6362357 B1	
			AU	5817599 A	03-04-2000
			EP	1115491 A1	
			JP	2002524250 T	06-08-2002
			MO	0015339 AJ	23-03-2000
US 5728839	Α	17-03-1998		4447066 A1	
00 0,0000			ΑT	215545 T	15-04-2002
			AU	699504 B2	
•			ΑU	4070395 A	04-07-1996
	•		BR	9505988 A	23-12-1997
			CA	2165925 A	
			CN		,B 18–12–1996
			DE	59510140 D:	
			DK	721953 T	
			EP	0721953 A	
			FI	956232 A	30-06-1996
			JP	2777880 B	
			JP	8231571 A	10-09-1996
			PL	312030 A	
			TW	461891 B	01-11-2001
			. ZA	9510928 A	26-09-1996
WO 0142258	Α	14-06-2001	FR	2801887 A	1 08-06-2001
			AU	2182001 A	18-06-2001
			BR	0016380 A	
			EP	1235836 A	
•			WO	0142258 A	1 14-06-2001

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS
MAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
BLURRED OR ILLEGIBLE TEXT OR DRAWING
SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ CRAY SCALE DOCUMENTS
LINES OR MARKS ON ORIGINAL DOCUMENT
\square REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ other:

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.